

P24125.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P24125	Total Pages
Inventor(s) or Application Identifier		
Shuichi TAKEUCHI and Daisuke KOREEDA		

Title: SCANNING OPTICAL SYSTEM

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 U S P T O  
 10/721968  
 22388  
 112603

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Small Entity Statement(s)</li> </ul>	10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>55</u> ] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>12</u> ]	12. <input type="checkbox"/> English Translation Document (if applicable)
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))          (for continuation/divisional with Box 20 completed)          [Note Box 6 below]         <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>            Signed statement attached deleting inventor(s)            named in the prior application, see 37 CFR            1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	14. <input type="checkbox"/> Preliminary Amendment
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>3 &amp; 5</u>
	17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Claim of Priority</li> <li>b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</li> </ul>
	18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2), (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.
	19. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation of Tokyo, Japan</u>
	20. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> <hr/> <hr/> <hr/> <hr/>

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior Application No. /, filed \_\_\_\_\_.  
*Prior application information: Examiner: \_\_\_\_\_* Signature: Leslie H. Bernstein Reg. No. 33,329

Address all future correspondence to Customer No. 7055 at the present address of:

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 1950 Roland Clarke Place  
 Reston, VA 20191  
 (703) 716-1191

11/26/03

Date

*Leslie H. Bernstein Reg. No. 33,329*  
 Signature  
 Bruce H. Bernstein, Reg. No. 29,027  
 Typed or Printed Name

## FEE TRANSMITTAL



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1. <input checked="" type="checkbox"/> The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.					3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td colspan="2">Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td colspan="2">For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td colspan="2">Requesting publication of SIR</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Extension for response within 1st month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Extension for response within 2nd month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Extension for response within 3rd month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Extension for response within 4th month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Extension for response within 5th month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Notice of Appeal</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Filing a brief in support of an appeal</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Utility issue fee (or reissue)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Design issue fee</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Plant issue fee</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Petitions to the Commissioner</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Petitions related to provisional applications</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Submission of IDS</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Request for Continued Examination (RCE)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td colspan="2">Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td> <td colspan="6"></td> </tr> <tr><td colspan="5">Other fee (specify) _____</td> <td colspan="6"></td> </tr> <tr> <td colspan="5"></td> <td colspan="6">SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="5"></td> <td colspan="6">40.00</td> </tr> </tbody> </table> <p>*Reduced by Basic Filing Fee paid</p>						Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description		Fee Paid	1051	130	2051	65	Surcharge - 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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shuichi TAKEUCHI et al.

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : SCANNING OPTICAL SYSTEM

**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicants' Japanese representative on November 25, 2003, and pages 1 - 55 of the specification (with Figures 1 - 20) which were earlier forwarded to the undersigned by facsimile by Applicants' Japanese representative on November 19, 2003. Further, the undersigned has been advised by the Japanese representative that the inventors had reviewed the application before executing the declaration.

Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

P24125.A01

Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,  
Shuichi TAKEUCHI et al.

  
Bruce H. Bernstein      Reg. No. 33,329  
Reg. No. 29,027

November 25, 2003  
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